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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 016887/1026

Applicant: Tomoharu TANAKA et al.
Title: NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND
MEMORY SYSTEM USING THE SAME
Appl. No.: 09/722,474
Filing Date: November 28, 2000
Examiner: V. Le
Art Unit: 2824

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on a modified Form PTO/SB/08 is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each of the listed documents is being submitted to comply with the provisions of 37 C.F.R. §§ 1.97-1.98.

The submission herewith of any document is not intended as an admission either that such document constitutes competent prior art against the claims of the present application or that such document is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive the right to take appropriate action to antedate any document that does not constitute a statutory bar and that is determined to be a prima facie prior art reference against the claims of the present application, or to otherwise remove such a document as a competent reference.

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Atty. Dkt. No. 016887/1026
Serial No. 09/722,474



TIMING OF THE DISCLOSURE

This Information Disclosure Statement is being filed under the provisions of 37 C.F.R. § 1.97(b) before the mailing date of a first Office Action on the merits.

Applicants respectfully request that any listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted,

Pavan K. Agarwal
Reg. No. 40,888

April 15, 2003

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Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

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